

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen et al.

Application No. 10/620,266

Filed: July 14, 2003

Confirmation No. 8740

INNOVATIONS IN PURE LOSSLESS For:

AUDIO COMPRESSION

Examiner: To be assigned

Art Unit: 2641

Attorney Reference No. 3382-65137

COMMISSIONER FOR PATENTS P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

for Applicant(s

Date Mailed February 9, 2004

INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. § 1.97(b)**

Listed on the accompanying form PTO-1449 and enclosed herewith are several Englishlanguage and/or non-English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in § 1.491 in an international application, before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of request for continued examination under § 1.114. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Stephen A. Wight

Registration No. 37,759

One World Trade Center, Suite 1600 121 S.W. Salmon Street

Portland, Oregon 97204 Telephone: (503) 226-7391

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

						
SAW:lcm 02/0		65137 300280.04 P E				
 	1	, anne (a)		Attorn	ey Docket Number	3382-65137
INFORMATION DISCLOSURE STATEMENT					ation Number	10/620,266
					Date	July 14, 2003
					lamed Inventor	Chen
					nit	2641
					Examiner Name	
		U.S.	PATENT D	OCUM	ENTS	
Examiner's Initials*	Cite No. (optional)	Number	Date		Name	
		6,141,446	10/2000		Bolick et al.	
		6,121,904	9/2000		Levine	
		5,361,278	11/1994		Vaupel et al.	
		FORM			IN CENTRO	
		FOREIC	SN PATENT	DOC	UNIENIS	
Examiner's Initials*	Cite No. (optional)	Number	Date		Country	
Examiner's Initials*	Cite No. (optional)	OTHER DOCUMENTS Hans et al., "Lossless Compression of Digital Audio", IEEE Signal Processing Magazine, Vol. 18, No. 4, pp. 21-32 (July 2001)				
		Kofidis et al., "Wavelet-based medical image compression", Future Generations				
		Computer Systems, Elsevier Science Publishers, Vol. 15, No. 2, pp. 223-243				
		Vol. 18, No. 4, pp. 21-32 (July 2001) Kofidis et al., "Wavelet-based medical image compression", Future Generations Computer Systems, Elsevier Science Publishers, Vol. 15, No. 2, pp. 223-243				

Elder, "Coding of Audio Signals with Overlapping Block Transform and Adaptive Window Functions", <i>FREQUENZ</i> , <i>Schiele und Schon GMBH</i> , <i>Berlin</i> , <i>Germany</i> , pp. 252-256 (September 1989)
Bosi et al., "ISO/IEC MPEG-2 Advanced Audio Coding", Journal of the Audio Engineering Society, Audio Engineering Society, New York, pp. 789-812 (October 1997)
Golomb, "Run Length Encodings", IEEE Transactions on Information Theory, IEEE, Inc., New York, pp.399-401 (July 1996)

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

^{*} Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.